

Addendum To Application Form



Fax to: 0866 761 896 Or
Post to:
Coris Capital Collective Investments
Private Bag X14, Highveld Park, 0169
Telephone number: (012) 683 3900
Fax number: 0866 761 896
E-mail: unittrust.info@coriscapital.com
Website: www.coriscapital.com

OTHER LEGAL PERSONS

In terms of the Financial Intelligence Centre Act, No 38 of 2001 (FICA) all Financial Institutions have to identify and verify client information. The information has to be provided by all prospective clients before entering into a business relationship or concluding a single transaction.

Kindly complete the applicable sections set out below, being additional information required in terms FICA. The completed form must accompany the application form together with all requested copies of documentation, to enable us to process the application.

COPIES OF THE FOLLOWING DOCUMENTATION MUST BE SUBMITTED WITH THE APPLICATION FORM:

- Other Legal Person: certified copy of Constitution or other founding document
- Certified copies of ID document/passport of person authorized to act on behalf of legal person/partnership
- Certified copy of a utility bill/account statement (less than 3 months old) - (where requested - see below)
- Any other documentation required below

GENERAL

Supply as much detail as possible about the source of funds to be invested:

SECTION A

If other Legal Person:

State its legal form: i.e. Pension/Provident fund, Social security funds, Schools, Co-operatives, Deceased estates, Insolvent estates, Public enterprises, Sole Proprietors etc.}

Name of legal person to be stated on the application form:

Address from which legal form operates - attach certified copy of a utility bill/account statement (less than 3 months old):

State income tax and VAT registration numbers of legal form (if issued) - attach copy of SARS document containing details of the tax number, if issued:

Complete details concerning each natural person who's authorized to act on behalf of the legal person:

Authorized / Mandated person

Full names & Surname:

Date of birth:

Identity number:

Nationality (if foreign national):

Passport number (if foreign national):

Residential address:

Contact particulars: Dialing code:

Number:

Fax particulars: Dialing code:

Fax number:

Cellular number: _____

E-mail address: _____

Authorized / Mandated person

Full names & Surname: _____

Date of birth: _____ Identity number: _____

Nationality (if foreign national): _____

Passport number (if foreign national): _____

Residential address: _____

Contact particulars: Dialing code: _____ Number: _____

Fax particulars: Dialing code: _____ Fax number: _____

Cellular number: _____

E-mail address: _____

SIGNATURE OF INVESTOR

The Client warrants that all statements made and information particulars supplied by him/her/it or on his/her/its behalf in this addendum to the application form are true and correct.

Signed at: _____

Date: _____

Signature of Investor (Authorized/Mandated official)

SIGNATURE OF FINANCIAL / INVESTMENT ADVISER

The Financial / Investment Adviser warrants that he/she has taken reasonable steps to establish and verify the identity of the client and any other person required/involved in the establishment of the business relationship or single transaction.

Name of Financial / Investment Advisor: _____

Financial / Investment Advisor code: _____

Signature of Financial / Investment Advisor: _____

Date: _____

<u>For office use only:</u> By completing the following fields the employee confirms that he/she has complied with the identification and verification procedures and regulations of FICA.					
Copy of identification documents obtained (Client):		Copy of identification documents (person assisting investor):			
Copy of SARS document obtained (if issued):		Copy of utility bill/account statement (client and person assisting):			
Date identified:		Date verified:			
If financial adviser involved, written confirmation received of identification of client:			If financial adviser involved, copies of verification documents received:		
Employee name & surname:		Employee no.:		Employee signature:	